



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Glassco et al.

Application No.: 09/771,514

Filed: 01/27/01

For: METHOD AND APPARATUS FOR
MANAGING AND
ADMINISTERING LICENSING OF
MULTI-FUNCTION OFFERING
APPLICATION

Examiner: John W. Hayes

Art Group: 3621

CERTIFICATE OF MAILING

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INFORMATION DISCLOSURE STATEMENT

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Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37

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 X 37 C.F.R. § 1.97(b). Filed:

- (1) Within three months of a National Application other than a CPA;
- (2) Within three months of entry into National Stage;
- (3) Before mailing of a first Office Action on the merits; or
- (4) Before mailing of a first Office Action after filing an RCE.

 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution.

And, ONE of the following:

(1) One statement from 37 C.F.R. § 1.97(e);

 (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

 (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS.

OR

(2) The fee set forth in 37 C.F.R. § 1.17(p).

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 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee.

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Accompanying this IDS is a list of references and a copy of each reference listed therein, EXCEPT references pursuant to one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)):

_____ 37 C.F.R. § 1.98(c) as being cumulative (marked with an "*" on the Form PTO-1449).

_____ 37 C.F.R. § 1.98(d) as being previously submitted in parent application _____/_____, to which the present application claims priority under 35 U.S.C. § 120.


 X Those U.S. Patent references waived from the requirement of 37 C.F.R. § 1.98 (a)(2)(i) .

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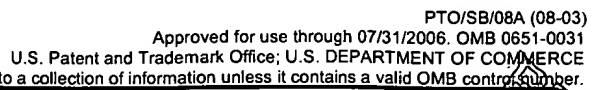
Respectfully submitted,
SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: _____

10/23/03


Adam L.K. Philipp
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Substitute for form 1449/PTO

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| Application Number | 09/771,514 |
| Filing Date | 01/27/01 |
| First Named Inventor | Glassco et al. |
| Art Unit | 3621 |
| Examiner Name | John W. Hayes |
| Attorney Docket Number | 109886-130204 |

U. S. PATENT DOCUMENTS

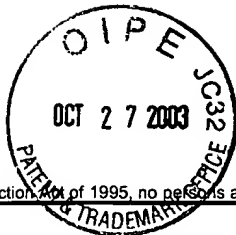
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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| Application Number | 09/771,514 |
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| Art Unit | 3621 |
| Examiner Name | John W. Hayes |
| Attorney Docket Number | 109886-130204 |

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|---|----------------|
| | 7 | Hayhoe, George, F., "No Desktop is an Island; Groupware Needs of...": IEEE, 18-20 Oct 1989; pp. 228-231. | |
| | 8 | Howard, John H., "Using reconciliation to share files...": IEEE, 14-15 Oct 1993; pp. 56-60. | |
| | 9 | Bass, Julian M. et al., "Automating the Development of Distributed...": IEEE, Vol. 2, Issue 4; Winter 1994; pp. 9-18. | |
| | 10 | Yu, C.-F., "Access Control and Authorization Plan for Customer Control...": IEEE, Nov 27, 1989; pp. 862-869. | |
| | 11 | Bentley, R. et al., "Supporting Collaborative Information Sharing with...": World Wide Web Journal, Dec 11, 1995; pp. 63-73. | |
| | 12 | Mariani, J.A. et al., "Cooperative Information Sharing: Developing a": Computer Journal, Oxford University Press, vol. 39, no. 6, 1996, pp. 455-470. | |
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